## **©CIRCA**5000

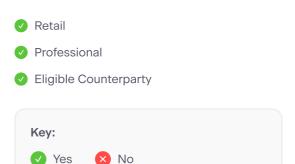
## **CIRCA5000 Target Market Information**

### What are the CIRCA5000 ETFs?

This information is based on CIRCA5000 UK Limited's assessment of what the Target Market is for the CIRCA5000 ("C5K") ETFs and doesn't take into account an individual's circumstances. The individual or the financial adviser are responsible for taking into account the individual's circumstances before purchasing one of the 5 investment ETFs available to buy.

The 5 C5K ETFs are a market-leading range of impact ETFs, built around the structural trends that are re-defining our world. The companies in the C5K ETFs are solving the biggest environmental and social challenges of our time. C5K ICAV currently offers the following five ETFs.

# What type of investor are the ETFs suitable for?





The Target Market Criteria applies to each of the 5 ETFs.

## **Target Market Criteria**

1

UCITS ETF

Basic Investor	<ul> <li>By basic, we mean an investor who understands that their money is invested into an ETF that can go up and down in value and can make a decision to invest based on regulatory documentation and with or without advice.</li> <li>Usually a first-time investor and has no experience in the financial industry.</li> </ul>
Informed Investor	<ul> <li>Average knowledge of how investments work</li> <li>Can make an informed decision based on regulated and authorized documents or with their own knowledge</li> <li>Understands specific factors or risks</li> <li>Some experience in the financial industry</li> </ul>
Advance Investor	<ul> <li>Good knowledge of how investments work</li> <li>Good financial industry experience</li> <li>Experience in the financial industry</li> </ul>



What is the target age range of investors who should buy this product?	18+
What is the investor's capacity for loss?	For investors willing to take risks with their deposits <b>(Capital Loss)</b> . They are prepared to accept annual price fluctuations of up to 25%, but in the extreme periods understand that all of their initial investment could be lost.
What is the investor's risk appetite?	The ETFs expose investors to stock market fluctuations. Customers should be comfortable with this and willing to accept fluctuations for the chance of higher returns. <b>The SRRI of the ETFs ranges between 5-7.</b>
What are the investor's financial objectives?	Investors who want to invest in diversified ETFs over the long term generate a financial return <b>(Capital Growth).</b>
What are the investor's non-financial objectives?	Investors who want impact investing options that align with their personal values.
When does the investor need access to the investment?	Investors who do not plan on accessing their ETFs for 5 years, however in unforeseen circumstances require the ability to withdraw.
What investment choices does the investor require?	For customers who want to invest in a diversified ETF, rather than just single stocks. The ETF can be used either as a specific theme within a portfolio or as a core component of their investment portfolio.
What are the investors' withdrawal requirements?	Investors who require an ETF that can be bought or sold daily with proceeds subsequently withdrawn.
What are the investor's tax requirements?	Investors who want to invest in an HMRC-approved offshore reporting ETF.
What are the investor's domicile requirements?	Any investor who can purchase the ETF on the stock markets where it is listed.
What are the minimum assets required to invest?	Determined by the broker through which the ETF can be bought.
What available money does the investor need?	Investors who have enough cash to invest and don't require it for essential needs.
What accessibility and user interface can be used for accessing the ETFs?	Investors who want daily traded ETFs that are available to be bought and sold via a platform or broker.



#### How can an investor invest in an ETF?

Execution Only		It is appropriate for an investor to invest in an ETF on an Execution Only basis.
Non-Advised		It is appropriate for an investor to invest in an ETF on a Non-Advised basis.
Advised		It is appropriate for an investor to invest in an ETF on an advised basis.
Discretionary Management	<b>v</b>	It is appropriate for an investor to invest in an ETF on a discretionary management basis.

## **ETFs Negative Target Market**

CIRCA5000's negative target market has been defined below:

8	Investors who want to purchase individual shares and bonds.
8	Investors who only want capital protection and receive all of their initial deposit back.
×	Investors who only want a large proportion of cash holdings.
×	Investors who only are fully risk averse and want a predictable return on their money.
×	Investors with a short-term time horizon, defined as less than 5 years.
8	Investors who want to purchase ETFs with exposure to industries such as oil and weapons manufacturers.
8	Investors who only are US persons.

This material is for distribution to Professional Clients (as defined by the Financial Conduct Authority or MiFID Rules) only and should not be relied upon by any other persons. Issued by CIRCA5000 UK Ltd. Registered in England and Wales, company no. 13214839. Registered office: 86-90 Paul Street, London, United Kingdom, EC2A 4NE. CIRCA5000 UK Ltd is an appointed representative (FCA reg no. 950019) of CIRCA5000 Ltd, who is authorised and regulated by the Financial Conduct Authority (FCA reg no. 846067). Please refer to the Financial Conduct Authority website for a list of authorised activities conducted by CIRCA5000.